

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
9 December 2004 (09.12.2004)

PCT

(10) International Publication Number
WO 2004/106534 A1

(51) International Patent Classification⁷: **C12P 41/00**

Cheongsol APT Songgang-dong, Yuseong-gu, Daejeon,
305-752 (KR).

(21) International Application Number:
PCT/KR2004/001313

(22) International Filing Date: 2 June 2004 (02.06.2004)

(25) Filing Language: Korean

(26) Publication Language: English

(30) Priority Data:
10-2003-0035470 3 June 2003 (03.06.2003) KR

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): EN-ZYTECH, LTD. [KR/KR]; 217-2, Sinseong-Dong, Yuseong-Gu, Daejeon, 305-805 (KR).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): HWANG, Soon Ook [KR/KR]; 109-1402 Expo APT Jeonmin-dong, Yuseong-gu, Daejeon 305-761 (KR). KIM, Do Hoon [KR/KR]; 109-501 SamIck-SoWol APT Beop-dong, Daedeok-gu, Daejeon 306-765 (KR). RYU, Hye Youn [KR/KR]; 102-301 Hansol APT Songkang-Dong, Yuseong-Gu, Daejeon, 305-503 (KR). LEE, Tae Im [KR/KR]; 779-11 Gasuwon-dong, Seo-gu, Daejeon, 302-802 (KR). CHUNG, Sun Ho [KR/KR]; 512-302

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: THE ENZYMATIC METHOD OF MAKING 1,2-DIOL DERIVATIVES AND THEIR ESTERS WITH SUCCINE ANHYDRIDE

(57) Abstract: The present invention relates to a new process for the preparation of optically active alcohols represented by the general formula 2 and their esters represented by the general formula 3 in scheme 1. In more detail, this invention relates to the process for the preparation of optically active alcohols and their esters which are used as pharmaceutical intermediates by reacting the hydroxyl group stereospecifically by lipase after adding racemic alcohols represented by the general formula 1 and succinic anhydride as an acylating agent to the organic solvent. According to this invention, the primary hydroxyl group of 1,2-diols is transformed by other functional group and the secondary hydroxyl group is esterified stereospecifically with succinic anhydride as an acylating agent. Optically active alcohols and their esters of high optical purity in high yield can be produced by using succinic anhydride as an acylating agent because alcohols can be separated from their esters more easily than those of other conventional methods.



WO 2004/106534 A1

The enzymatic method of making 1,2-diol derivatives and their esters with succinic anhydride

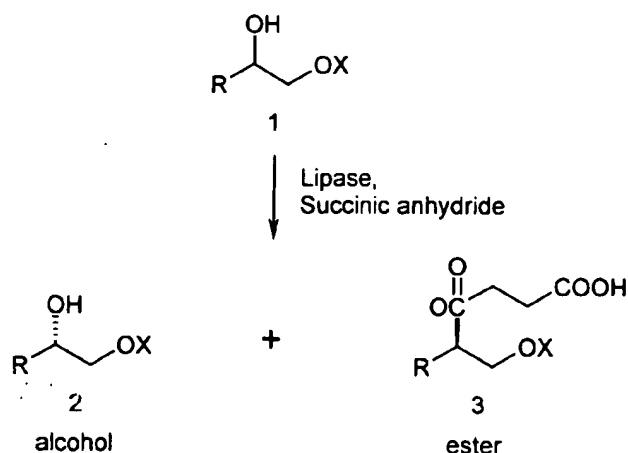
【Technical Field】

5 The present invention relates to a new process for the easy preparation of optically active alcohols and their esters by reacting the hydroxy group of racemic 1,2-diol derivatives represented by the general formula 1 stereospecifically after adding succinic anhydride as an acylating agent and lipases as biocatalysts to organic solvents.

10 This invention relates to a process for preparing the products of high optical purity in high yield by separating alcohols from their esters easily after reaction using succinic anhydride as an acylating agent. Racemic alcohols represented by the general formula 1 in scheme 1 are composed of (S)-alcohols and (R)-alcohols respectively and they are used as intermediates in preparing important pharmaceuticals.

15 There are some enzymatic methods to prepare optically active 1,2-diols. In most cases, the primary hydroxyl group is transformed by other functional group and the secondary hydroxyl group is hydrolyzed or esterified stereospecifically.

[Scheme 1]



(R=CH₃, N₃CH₃, ClCH₂, CH₃CH₂ etc., X=Tosyl, Nosyl, t-Butyl, Trityl etc.)

【Background Art】

Hamaguchi et al. obtained (S)-2-hydroxy-3-chloropropyl p-toluenesulfonate(99% ee) and (R)-2-acetoxy-3-chloropropyl p-toluenesulfonate(99% ee) by the hydrolysis of racemic 2-acetoxy-3-chloropropyl p-toluenesulfonate using LPL(Amano) as biocatalyst(see Agric. Biol. Chem. , 50(2), 375-380(1986)).

On the other hand, Kim and Choi obtained (R)-2-hydroxy-3-chloropropyl tritylate(yield 54%,72% ee) and (S)-2-acetoxy-3-chloropropyl tritylate(yield 43%, 98% ee) by the transesterification of 2-hydroxy-3-chloropropyl tritylate using PS lipase and vinyl acetate as the acylating agent in toluene(see J. Org. Chem., 57: 1605-1607(1992)).

Recently, the primary hydroxyl group of 1,2-diol derivatives was transformed by tosyl group and the secondary hydroxyl group was esterified stereospecifically(International Application No. : PCT/KR2004/001005).

However, these processes have common problems in separating alcohols from their esters respectively on the large scale.

For solving this problem, Fiaud et al.(Tetrahedron Letter, vol. 33: 6967-6970(1992)) and Gutman et al.(Tetrahedron: Asymmetry, vol. 4: 839-844(1993)) obtained (-)-tert-butyl cyclobutylidenethanol(89% ee) and (S)-1-phenylethanol(100% ee) on the large scale by the solvent extraction using succinic anhydride as an acylating agent.

Thus, alcohols and their esters of high optical purity could be produced easily by easy separation of alcohols from their esters after reaction when succinic anhydride was used as an acylating agent.

【Disclosure of Invention】

Instead of Chen's method using isopropenyl acetate as an acylating agent(J. Chem. Soc. Perkin Trans 1, 2559-2561(1990)), in the present invention, succinic anhydride was used as an acylating agent in the reaction and the easy recovery of alcohols and their esters was possible by solvent extraction after reaction. Thus, the process of preparing optically active alcohol derivatives and esters of high optical purity in high yield was developed.

Accordingly, the objective of this invention is to provide the method of preparing optically active alcohols and their esters of high optical purity in high yield by recovering the products easily after reaction using succinic anhydride as an acylating agent.

For the above objective, this invention consists of the process for reacting racemic alcohol represented by the general formula 1 stereospecifically by lipase using succinic anhydride as an acylating agent in organic solvent.

This invention is explained in more detail as follows.

As mentioned above, in this invention, racemic alcohol represented by the formula 1 and succinic anhydride were placed in the organic solvent. Then, lipase was added to the mixture. The reaction was carried out in order to make optically active alcohols and their esters as shown in scheme 1.

For the lipase, commercially available ones and, if necessary, home-made ones can be used.

- 5 Non-limiting examples of the commercially available lipase include Novozyme 435 from Novo Ltd. and those manufactured by Amano Inc. such as PS, PS-D, PS-C and AK lipase.

After reaction, optically active alcohols and their esters are separated by known methods such as solvent extraction, crystallization and so on.

- 10 Optically active 2-hydroxy-3-azidopropyl t-butylate was determined by a gas chromatography(Donam Instruments Inc. Model DS 6200) equipped with chiral column(Chiraldex B-PM, Alltech). The oven temperature was maintained initially at 100°C for 10min and then raised at the rate of 0.5°C/min to 160°C, and maintained for 3 minutes. The typical retention time of the components in this invention was as follows:

- 15 (S)-2-hydroxy-3-azidopropyl t-butylate – 13.1 min
(R)-2-hydroxy-3-azidopropyl t-butylate – 14.1 min

- 20 Optically active 2-hydroxypropyl p-toluenesulfonate was determined by a HPLC(Lab Alliance Inc. Model 201) equipped with chiral column(Chiralcel OB-H, Daicel) using hexane and isopropyl alcohol mixture(80:20) as mobile phase. The Absorbance was 220nm and flow rate was 0.65ml /min. The typical retention time of the components in this invention was as follows:

- 25 (S)-2-hydroxypropyl p-toluenesulfonate – 20 min
(R)-2-hydroxypropyl p-toluenesulfonate – 26 min

- Analytical condition of optically active 2-hydroxy-3-chloropropyl p-toluenesulfonate was the same as that of 2-hydroxypropyl p-toluenesulfonate. The typical retention time of the components in this invention was as follows:

- 30 (S)-2-hydroxy-3-chloropropyl p-toluenesulfonate – 31 min
(R)-2-hydroxy-3-chloropropyl p-toluenesulfonate – 41 min

- 35 Analytical condition of optically active 2-hydroxybutyl p-toluenesulfonate was the same as that of 2-hydroxypropyl p-toluenesulfonate except that flow rate was 0.45ml /min. The typical retention time of the components in this invention was as follows:

- (S)-2-hydroxybutyl p-toluenesulfonate – 24.9 min

(R)-2-hydroxybutyl p-toluenesulfonate – 27.9 min

Analytical condition of optically active 2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate was the same as that of 2-hydroxypropyl p-toluenesulfonate. The typical retention time of the components in this invention was as follows:

(R)-2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate – 58.7 min

(S)-2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate – 63.7 min

Optically active 2-hydroxypropyl tritylate was determined using chiral column(Chiralcel OJ-H, Daicel) and hexane and isopropyl alcohol mixture(95:5) as mobile phase. And flow rate was 0.7ml /min. The typical retention time of the components in this invention was as follows:

(S)-2-hydroxypropyl tritylate – 17 min

(R)-2-hydroxypropyl tritylate – 24 min

And racemic 2-hydroxy-3-azidopropyl t-butylate, 2-hydroxypropyl p-toluenesulfonate, 2-hydroxy-3-chloropropyl p-toluenesulfonate, 2-hydroxybutyl p-toluenesulfonate, 2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate and 2-hydroxypropyl tritylate thus synthesized were confirmed by FT-NMR(Burker Inc., Model DPX300) respectively and the results are as follows:

2-hydroxy-3-azidopropyl t-butylate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 3.75(m, 1H), 3.23 to 3.29(m, 4H), 1.09(s, 9H)

2-hydroxypropyl p-toluenesulfonate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 7.72(d, 2H), 7.28(d, 2H), 3.78 to 3.97(m, 3H), 3.06(bs, 1H), 2.38(s, 3H), 1.09(d, 3H)

2-hydroxy-3-chloropropyl p-toluenesulfonate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 7.8(d, 2H), 7.4(d, 2H), 3.61 to 4.15(m, 3H), 2.48(s, 3H), 1.27(d, 2H)

2-hydroxybutyl p-toluenesulfonate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 7.8(d, 2H), 7.39(d, 2H), 3.78 to 4.08(m, 3H), 2.47(s, 3H), 1.46 to 1.51(m, 2H), 0.92 to 0.97(t, 3H)

2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 7.7 to 8.1(m, 4H), 3.7 to 4.2(m, 3H), 1.22(d, 2H)

2-hydroxypropyl tritylate:

¹H-nuclear magnetic resonance(¹H-NMR)(CDCl₃):

δ 4.02(m, 1H), 3.02 to 3.2(dq, 2H), 2.47(bs, 1H), 1.16(d, 3H)

A better understanding of the present invention may be obtained through the following examples which are set forth to illustrate, but are not to be construed as the limit of the present invention.

5

Example 1

t-butyl glycidyl ether(0.5g) was dissolved in the mixture of ethanol and distilled water. NH_4Cl (0.41g), NaOH (0.153g) and NaN_3 (0.5g) were added to the mixture respectively. Then the reaction was carried out for 2 hours at 80 °C. After reaction, the reaction mixture was extracted with
10 water and dichloromethane. The combined organic phase was dried over and 2-hydroxy-3-azidopropyl t-butylate was obtained and confirmed by FT-NMR.

Succinic anhydride(0.5g) and t-butylmethylether(5ml) were placed in a 15ml vial. Then, racemic 2-hydroxy-3-azidopropyl t-butylate(0.05g) and PS lipase(0.2g) were added to the mixture.
15 The reaction was carried out for 111 hours at 45 °C and (S)-2-hydroxy-3-azidopropyl t-butylate(98.3% ee) was obtained at 52.1% conversion. (R)-3-azido-(2-O-succinyl)-propyl t-butylate were extracted with Na_2CO_3 aqueous solution and the mixture were hydrolyzed by NaOH . (R)-2-hydroxy-3-azidopropyl t-butylate(89.6% ee) were obtained.

20 Example 2

1,2-Propanediol(7.6ml) was dissolved in dichloromethane(50ml) at room temperature, 4-dimethylaminopyridine(0.49g) and p-toluenesulfonyl chloride(24.7g) were added to it respectively and maintained at 0~5 °C. And triethylamine(13.2ml) was added slowly for 1 hour under nitrogen atmosphere. Then the reaction was carried out for 24 hours at room temperature. After reaction, the
25 reaction mixture was poured into an ice-water mixture and extracted with dichloromethane. The combined organic phase was dried over and 2-hydroxypropyl p-toluenesulfonate(17.3g, yield 73%) was obtained and confirmed by FT-NMR.

Succinic anhydride(0.1g) and t-butylmethylether(5ml) were placed in a 15ml vial. Then,
30 racemic 2-hydroxypropyl p-toluenesulfonate(0.05g) and PS lipase(0.2g) were added to the mixture. The reaction was carried out for 22 hours at 45°C and (S)-2-hydroxypropyl p-toluenesulfonate(99.0% ee) was obtained at 54.4% conversion.

Example 3

35 Instead of 1,2-propanediol, 3-chloro-1,2-propanediol(11.05ml) was dissolved in dichloromethane (50ml), and the synthesis was performed as shown in Example 2.

After synthesis, 2-hydroxy-3-chloropropyl p-toluenesulfonate(22.3g, yield 83%) was obtained

and confirmed by FT-NMR.

2-Hydroxy-3-chloropropyl p-toluenesulfonate was used instead of 2-hydroxypropyl p-toluenesulfonate and PS-D lipase was used instead of PS lipase as shown in Example 2 and after 8 hours of reaction, (R)-2-hydroxy-3-chloropropyl p-toluenesulfonate(99.0% ee) were obtained at 47.1% conversion.

Example 4

Instead of 1,2-propanediol, 1,2-butanediol(2.25ml) was dissolved in dichloromethane(50ml) at room temperature, 4-dimethylaminopyridine(0.12g) and p-toluenesulfonyl chloride(6.19g) were added to it respectively and maintained at 0~5°C. Triethylamine(3.28ml) was added slowly for 1 hour under nitrogen atmosphere. Then the synthesis was performed as shown in Example 2.

After synthesis, 2-hydroxybutyl p-toluenesulfonate(4.95g, yield 81%) was obtained and confirmed by FT-NMR.

2-hydroxybutyl p-toluenesulfonate was used instead of 2-hydroxypropyl p-toluenesulfonate as shown in Example 2 and after 67 hours of reaction, (S)-2-hydroxybutyl p-toluenesulfonate(99.0% ee) was obtained at 50.3% conversion.

Example 5

Instead of 1,2-propanediol, 3-chloro-1,2-propanediol(5.5ml) was dissolved in dichloromethane(50ml) at room temperature, 4-dimethylaminopyridine(0.12g) and 3-nitrobenzenesulfonyl chloride(12.2g) were added to it respectively and maintained at 0~5°C. Triethylamine(3.29ml) was added slowly for 1 hour under nitrogen atmosphere. Then the synthesis was performed as shown in Example 2.

After synthesis, 2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate(12.49g, yield 82%) was obtained and confirmed by FT-NMR.

2-Hydroxy-3-chloropropyl 3-nitrobenzenesulfonate was used instead of 2-hydroxypropyl p-toluenesulfonate and PS-D lipase was used instead of PS lipase as shown in Example 2 and after 96 hours of reaction, (R)-2-hydroxy-3-chloropropyl 3-nitrobenzenesulfonate(16.1% ee) were obtained at 12.2% conversion.

Example 6

1,2-Propanediol(1g) was dissolved in dichloromethane(10ml) at room temperature, 4-dimethylaminopyridine(0.044g) and triphenylmethyl chloride(2.78g) were added to it respectively and maintained at 0~5°C. Triethylamine(1.89ml) was added slowly for 1 hour under nitrogen atmosphere. Then the synthesis was performed as shown in Example 2.

After synthesis, 2-hydroxypropyl tritylate was obtained and confirmed by FT-NMR.

2-Hydroxypropyl tritylate was used instead of 2-hydroxypropyl p-toluenesulfonate and CAL

ipase was used instead of PS lipase as shown in Example 2 and after 33 hours of reaction, (S)-2-hydroxypropyl tritylate(99.0% ee) was obtained at 44.8% conversion. After reaction, (R)-2-O-succinylpropyl tritylate was extracted with Na₂CO₃ aqueous solution and the solution were hydrolyzed by NaOH. (R)-3-hydroxypropyl tritylate(95.7% ee) was obtained.

5

Examples 7-9

Enzymatic transesterification of 2-hydroxypropyl p-toluenesulfonate was carried out using lipases as shown in Table 1 instead of PS lipase in Example 2. The results are shown in Table 1.

10 Table 1

Example	Lipase	Reaction Time(hr)	Conversion(%)	% ee for (S)-2-hydroxypropyl p-toluenesulfonate
7	PS-D	23	60.4	96.1
8	CAL	22	49.6	90.7
9	PS-C	29	56.5	91.0

Examples 10-11

Enzymatic transesterification of 2-hydroxypropyl p-toluenesulfonate was carried out using the following solvents as shown in Table 2 instead of t-butylmethylether. The results are shown in Table 2.

15

Table 2

Example	Acylating agent	Reaction time(hr)	Conversion(%)	% ee for (R)-2-hydroxypropyl p-toluenesulfonate
10	isopropylether	22	49.4	99.0
11	toluene	27	50.8	99.0

【Industrial Applicability】

20

In accordance with this invention, the starting material can be synthesized at lower cost by simple method. With using succinic anhydride as an acylating agent, alcohols and their esters of high optical purity could be produced in high yield after enzymatic reaction. Therefore it is a very useful process on the industrial scale.

CLAIMS

What is claimed is:

- 5 1. A process for preparing optically active alcohols represented by the general formula 2 and their esters represented by the general formula 3 from racemic alcohols represented by the general formula 1, whose secondary hydroxyl group is esterified stereospecifically by lipase with succinic anhydride as an acylating agent in the organic phase.
- 10 2. The process according to claim 1, wherein R in the general formula 1 is CH_3 , N_3CH_2 , CH_3CH_2 , ClCH_2 .
3. The process according to claim 1, wherein X in the general formula 1 is trityl, t-butyl, tosyl, nosyl.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/KR2004/001313

A. CLASSIFICATION OF SUBJECT MATTER**IPC7 C12P 41/00**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7 C12P, C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Korean Patents and applications for inventions since 1975

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

KIPASS, Delphion, CA, Pubmed

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4996158A (Junichi Oda et al.) 26 February 1991 see the whole documents,	1-3
A	US 5914263 A (Buizer et al.) 22 June 1999 see the whole documents,	1-3
A	US 5534436 A (Seufer-Wasserthal et al.) 9 July 1996 see the whole documents,	1-3



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents.

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

28 OCTOBER 2004 (28.10.2004)

Date of mailing of the international search report

29 OCTOBER 2004 (29.10.2004)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office
920 Dunsan-dong, Seo-gu, Daejeon 302-701,
Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

WON, Jong Hyeok

Telephone No. 82-42-481-5592



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/KR2004/001313

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US4996158A	26.02.1991	JP01235599A2	20.09.1989
US5914263A	22.06.1999	TW0381120B RU2124506C1 PL0177831B1 EP0939135A1 JP06237790A2 DE69325698T2	01.02.2000 10.01.1999 31.01.2000 01.09.1999 30.08.1994 27.01.2000
US5534436A	09.07.1996	AT401385B AT67294A CA2145230A1 EP0675205A1 JP8038194A US5534436A	26.08.1996 15.01.1996 01.10.1995 04.10.1995 13.02.1996 09.07.1996